

# Estimation of Surface Fluxes with an Advanced Data Assimilation Methodology

## Carbon, Heat, and Moisture

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### Introduction

We have developed an advanced analysis system to estimate surface fluxes through assimilating data observed in the atmosphere, as a “top-down approach”. Surface fluxes are estimated by a state vector augmentation (e.g. Baek et al. 2005, Annan et al. 2005) within the Local Ensemble Transform Kalman Filter algorithm (Hunt et al., 2007). We have done two independent experiments: one is to estimate surface CO<sub>2</sub> fluxes (EXP1), and the other is to estimate sensible and latent heat fluxes at the surface (EXP2). Those fluxes are considered as time-varying parameters defined at every model grid of the surface.

### Methods [Observing System Simulation Experiments, OSSEs]

- Intermediate-complexity AGCM
  - EXP1: SPEEDY-C (Kang, 2009)
  - EXP2: SPEEDY (Molteni, 2003)

	EXP1	EXP2
Estimated fluxes	Surface CO <sub>2</sub> fluxes (CF)	Sensible & latent heat fluxes (SHF & LHF)
True fluxes [Nature run]	Fossil fuel emission <sup>1</sup> Terrestrial fluxes <sup>2</sup> Oceanic fluxes <sup>3</sup>	Fluxes of the original SPEEDY

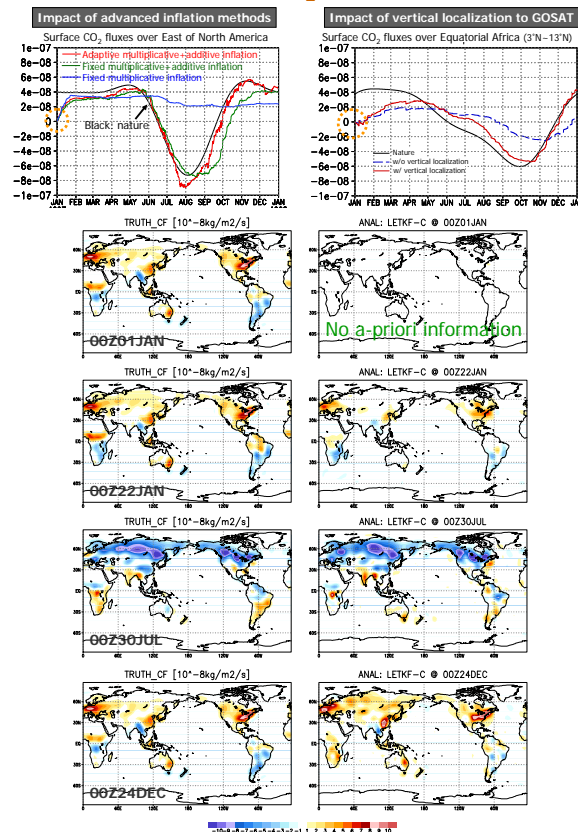
<sup>(1)</sup> Andres et al., 1996, <sup>(2)</sup> Randerson et al. 1997, <sup>(3)</sup> Takahashi et al., 2002)

- Persistence forecast** of surface fluxes
  - Fluxes are updated only by the analysis step
- Parameter estimation:** state vector (**X**) augmentation
  - EXP1: **X**=(**U**, **V**, **T**, **q**, **Ps**, **C**, **CF**)
  - EXP2: **X**=(**U**, **V**, **T**, **q**, **Ps**, **SHF**, **LHF**)
  - Fluxes are neither measured nor predicted
- Random initial conditions
  - **No prior information**
- Advanced inflation methods**
  - Adaptive multiplicative inflation (Miyoshi, 2011) and additive inflation
- System for estimating **Surface CO<sub>2</sub> fluxes**
  - “**Localization of variables**” for carbon flux estimation (Kang et al. 2011)
  - Vertical localization** of column mixing CO<sub>2</sub> data (GOSAT or OCO-2) for constraining near-surface CO<sub>2</sub>

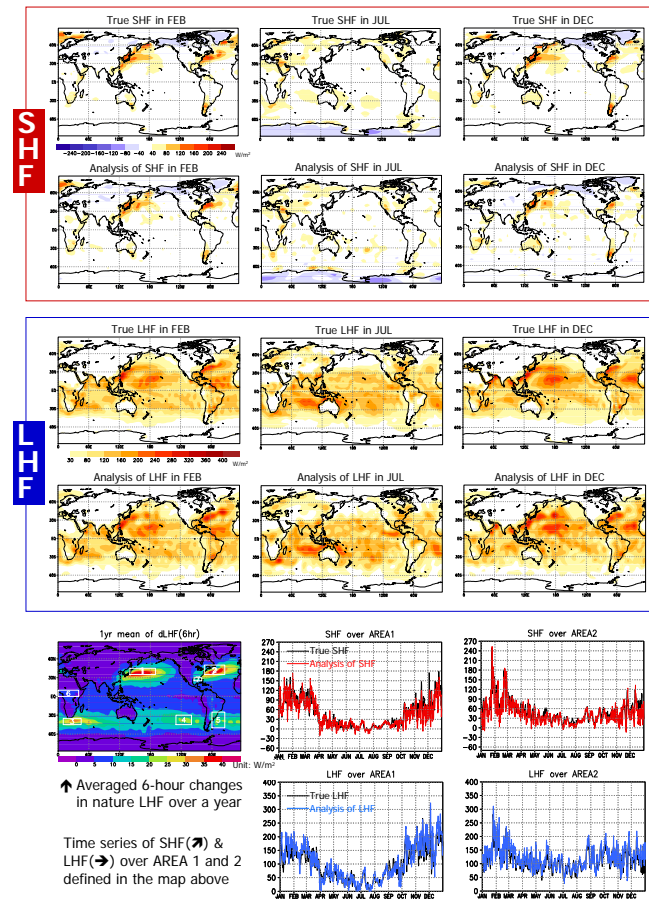
### Experiments

- Estimation of surface CO<sub>2</sub> fluxes**
  - Conventional data for weather variables: rawinsondes
  - Near-surface in-situ and flask CO<sub>2</sub> data: 18 hourly and 107 weekly observations
  - GOSAT column mixing CO<sub>2</sub> data
  - AIRS CO<sub>2</sub> retrievals sensitive to CO<sub>2</sub> in the mid-troposphere
- Estimation of sensible and latent heat fluxes**
  - Conventional data: rawinsondes
  - Temperature and humidity profile data from AIRS retrievals

### Results: Surface CO<sub>2</sub> fluxes



### Results: Sensible & Latent heat fluxes



Recall that LHF & SHF are updated only by the data assimilation here!

### Summary

We succeeded in estimating time-evolving surface fluxes of carbon, heat and moisture through the state vector augmentation within the Local Ensemble Transform Kalman Filter algorithm. It is essential to have a good background error covariance among the fluxes and other prognostic variables of the state vector in the flux inversion problem.